

## Worksheet 7, continued

Math 607, Connections and Characteristic Classes

Friday, February 12, 2021

Let  $X \subset \mathbb{R}^3$  be the graph of a function:  $z = f(x, y)$ . Thus  $X$  is a surface, parametrized by

$$\phi: \mathbb{R}^2 \rightarrow \mathbb{R}^3 \qquad \phi(x, y) = (x, y, f(x, y))$$

Eventually we're going to assume that  $f(0, 0) = 0$  and  $f_x(0, 0) = f_y(0, 0) = 0$ , so the surface passes through the origin and the tangent plane there is the  $xy$ -plane. For any surface in  $\mathbb{R}^3$  and any point on that surface, we can change coordinates on  $\mathbb{R}^3$  to put the point at the origin and make the tangent plane the  $xy$ -plane, so this is no loss of generality.

Last time we used the notation  $\frac{\partial}{\partial x}$  all over the place, but for compactness let's use  $\partial_x$  this time, and instead of  $\frac{\partial f}{\partial x}$  let's use  $f_x$ .

0. Draw a little picture to put yourselves in the right frame of mind.
1. Compute  $\phi_*(\partial_x)$  and  $\phi_*(\partial_y)$ .

**Answer:**

$$\phi_*(\partial_x) = \begin{pmatrix} 1 \\ 0 \\ f_x \end{pmatrix} \qquad \phi_*(\partial_y) = \begin{pmatrix} 0 \\ 1 \\ f_y \end{pmatrix}$$

2. Let  $g$  be the standard Riemannian metric in  $\mathbb{R}^3$  – the good old dot product – and let  $g' = \phi^*g$ . Compute the matrix of  $g'$  in the basis  $\partial_x, \partial_y$ . Compute the volume form, or since we're on a surface you could just call it the area form:

$$dA = \sqrt{\det g'} dx \wedge dy.$$

**Answer:**

$$\begin{aligned} g' &= \begin{pmatrix} 1 + f_x^2 & f_x f_y \\ f_x f_y & 1 + f_y^2 \end{pmatrix} \\ \det g' &= 1 + f_x^2 + f_y^2 \\ dA &= \sqrt{1 + f_x^2 + f_y^2} dx \wedge dy \end{aligned}$$

3. View  $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$  as a section of  $T\mathbb{R}^3|_X$ . Find its orthogonal projection onto  $TX$ , expressed in terms of  $\partial_x$  and  $\partial_y$ .

**Answer:** You could use the beloved formula for orthogonal projection: if  $V = (0, 0, 1)$  and  $N$  is the normal vector  $(-f_x, -f_y, 1)$ , then we want

$$V - \frac{V \cdot N}{N \cdot N} N = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} - \frac{1}{1 + f_x^2 + f_y^2} \begin{pmatrix} -f_x \\ -f_y \\ 1 \end{pmatrix} = \frac{1}{1 + f_x^2 + f_y^2} \begin{pmatrix} -f_x \\ -f_y \\ f_x^2 + f_y^2 \end{pmatrix}.$$

You can eyeball that as

$$W := \frac{1}{1 + f_x^2 + f_y^2} (f_x \partial_x + f_y \partial_y).$$

Or you could say that if  $W$  is the projection of  $V$  that we seek, then it's the unique linear combination of  $\partial_x$  and  $\partial_y$  satisfying

$$\begin{aligned} g(W, \partial_x) &= g(V, \partial_x) = f_x \\ g(W, \partial_y) &= g(V, \partial_y) = f_y; \end{aligned}$$

so in matrix form we want

$$\begin{aligned} W &= \begin{pmatrix} 1 + f_x^2 & f_x f_y \\ f_x f_y & 1 + f_y^2 \end{pmatrix}^{-1} \begin{pmatrix} f_x \\ f_y \end{pmatrix} \\ &= \frac{1}{1 + f_x^2 + f_y^2} \begin{pmatrix} 1 + f_y^2 & -f_x f_y \\ -f_x f_y & 1 + f_x^2 \end{pmatrix} \begin{pmatrix} f_x \\ f_y \end{pmatrix} \\ &= \frac{1}{1 + f_x^2 + f_y^2} \begin{pmatrix} f_x \\ f_y \end{pmatrix}, \end{aligned}$$

which agrees with what we found by the other method.

4. As before, we'll take the standard connection  $\nabla$  on  $T\mathbb{R}^3|_X$ , and hit it with the orthogonal projection  $T\mathbb{R}^3|_X \rightarrow TX$  to get the Levi-Civita connection  $\nabla'$  on  $X$ . Compute

$$\nabla'_x \partial_x \quad \nabla'_x \partial_y \quad \nabla'_y \partial_x \quad \nabla'_y \partial_y,$$

where  $\nabla'_x$  is short for  $\nabla'_{\partial_x}$ .

Tip: It will be convenient to let  $W \in \Gamma(TX)$  be the vector field that we found in problem 3 as the projection of  $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ , and write your answers in terms of  $W$  rather than  $\partial_x$  and  $\partial_y$ .

**Answer:**

$$\begin{aligned} \nabla'_x \partial_x &= f_{xx}W & \nabla'_x \partial_y &= f_{xy}W \\ \nabla'_y \partial_x &= f_{xy}W & \nabla'_y \partial_y &= f_{yy}W. \end{aligned}$$

- 4 $\frac{1}{2}$ . Continue to let  $W \in \Gamma(TX)$  be the vector field that we found in problem 3. Compute  $\nabla'_x W$  and  $\nabla'_y W$  at the point  $(0,0)$ , assuming that  $f_x(0,0) = f_y(0,0) = 0$ .

**Answer:** We have

$$W = \frac{1}{1+f_x^2+f_y^2}(f_x \partial_x + f_y \partial_y),$$

so

$$\begin{aligned} \nabla'_x W &= -\frac{2f_x f_{xx} + 2f_y f_{xy}}{(1+f_x^2+f_y^2)^2}(f_x \partial_x + f_y \partial_y) \\ &\quad + \frac{1}{1+f_x^2+f_y^2}(f_{xx} \partial_x + f_x f_{xx} W + f_{xy} \partial_y + f_y f_{xy} W) \end{aligned}$$

When we plug in  $(0,0)$  and all the first derivatives drop out, this simplifies to

$$\nabla'_x W|_{(0,0)} = f_{xx} \partial_x + f_{xy} \partial_y,$$

and similarly

$$\nabla'_y W|_{(0,0)} = f_{xy} \partial_x + f_{yy} \partial_y.$$

5. Compute

$$\nabla'_x \nabla'_y \partial_x - \nabla'_y \nabla'_x \partial_x \quad \nabla'_x \nabla'_y \partial_y - \nabla'_y \nabla'_x \partial_y$$

at the point  $(0,0)$ .

**Answer:**

$$\begin{aligned}\nabla'_x \nabla'_y \partial_x - \nabla'_y \nabla'_x \partial_x &= \nabla'_x(f_{xy}W) - \nabla'_y(f_{xx}W) \\ &= f_{xxy}W + f_{xy}\nabla'_x W - f_{xxy}W - f_{xx}\nabla'_y W.\end{aligned}$$

Evaluating at zero, this becomes

$$\begin{aligned}0 + f_{xy}(f_{xx}\partial_x + f_{xy}\partial_y) - 0 - f_{xx}(f_{xy}\partial_x + f_{yy}\partial_y) \\ = (f_{xy}^2 - f_{xx}f_{yy})\partial_y.\end{aligned}$$

Similarly, we find that

$$(\nabla'_x \nabla'_y \partial_y - \nabla'_y \nabla'_x \partial_y)|_{(0,0)} = (f_{yy}f_{xx} - f_{xy}^2)\partial_x.$$

So we're happy to see that the curvature matrix is skew-symmetric:

$$\begin{pmatrix} 0 & f_{yy}f_{xx} - f_{xy}^2 \\ f_{xy}^2 - f_{xx}f_{yy} & 0 \end{pmatrix} dx \wedge dy.$$

And also at  $(0,0)$  we have  $dA = dx \wedge dy$  so everything is copacetic.

6. Suppose we have the graph of a function of one variable,  $y = h(x)$ , and that  $h(0) = h'(0) = 0$ . Convince yourselves that the radius of the osculating circle at the origin is  $|1/h''(0)|$ . Draw a little picture.
7. If  $(a, b, 0) \in \mathbb{R}^3$  is a unit tangent vector to our surface  $X$  at the origin, we define the (signed) curvature in that direction to be 1 over the (signed) radius of the osculating circle of the curve obtained by slicing  $X$  with the plane spanned by  $(a, b, 0)$  and the normal vector  $(0, 0, 1)$ . Convince yourselves that it equals

$$a^2 f_{xx}(0,0) + 2ab f_{xy}(0,0) + b^2 f_{yy}(0,0).$$

8. That was the quadratic form associated to the symmetric matrix

$$\begin{pmatrix} f_{xx} & f_{xy} \\ f_{xy} & f_{yy} \end{pmatrix}.$$

Convince yourselves that if the signed curvature is maximized in one direction and minimized in another, then the product of those two curvatures is the determinant of the matrix:

$$f_{xx}f_{yy} - f_{xy}^2.$$

This agrees with the Pfaffian of the curvature matrix that we found earlier, up to a sign.